

On the effective resolution of AI weather prediction models

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Abstract

In this study, we investigate the effective resolution of deterministic AI weather prediction models. We find that an ideal, perfectly trained AI model follows the mean of the predictive distribution for the lead time interval which is used in its loss function during training. We demonstrate the consequences and limitations of this result with forecast data from various AI models, including Aurora, Pangu, GraphCast and GenCast and we compare them to ensemble and deterministic forecasts from the European Centre for Medium Range Weather Forecasting. We further demonstrate the impact of the resolution on mean-square error scores and suggest a method for a fairer comparison of two models with different effective resolution.

On the effective resolution of AI weather prediction models

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Key Points:

- The effective resolution of an ideal AI model is determined by the spectrum of the ensemble mean at the lead times used in the loss function
- Real-world AI models approximate this behavior, but with a bias towards spatial smoothing
- Smooth models get better scores by avoiding the double-penalty effect

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 19 tion models. We find that an ideal, perfectly trained AI model follows the mean of the
 20 predictive distribution for the lead time interval which is used in its loss function dur-
 21 ing training. We demonstrate the consequences and limitations of this result with fore-
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 24 tre for Medium Range Weather Forecasting. We further demonstrate the impact of the
 25 resolution on mean-square error scores and suggest a method for a fairer comparison of
 26 two models with different effective resolution.

27 **Plain Language Summary**

28 In recent years, models based on artificial intelligence (AI) have become equally
 29 good or even better at predicting the weather than standard models, which are based
 30 on solving physical equations. However, AI models often produce overly smooth fore-
 31 casts, which lack relevant small-scale spatial structures. Here, we develop a mathemat-
 32 ical argument to better understand this low “effective resolution” and investigate its ap-
 33 plicability on recently developed AI models. It turns out that the lead time interval that
 34 is used during training plays a crucial role. Ironically, smooth forecasts can produce bet-
 35 ter scores by ignoring the small-scale structures and appear better than they actually
 36 are. We suggest a method to correct for this sometimes unwanted effect and get to a fairer
 37 comparison.

38 **1 Introduction**

39 Recently, several weather prediction models became available which use artificial
 40 intelligence (AI) to compute a deterministic forecast of the atmospheric state from an
 41 initial state (e.g., Bi et al., 2023; Lam et al., 2023; Bodnar et al., 2024). They have been
 42 trained on past atmospheric data and use mean square error (MSE) or mean absolute
 43 error (MAE) metrics to estimate their loss during training. These models have achieved
 44 similar or even better scores relative to “standard” numerical weather prediction mod-
 45 els, which are based on solvers of the fluid equations, most notably the leading opera-
 46 tional model — the Integrated Forecasting System (IFS) from ECMWF.

47 The spatial resolution of a weather model is defined as the size of its grid boxes.
 48 However, its “true” or “effective” resolution is usually much lower and is defined as the
 49 smallest spatial scale where atmospheric structures are reproduced with realistic ampli-
 50 tudes. The lower the effective resolution of a model, the smoother the forecast fields ap-
 51 pear visually. While the effective resolution of standard weather models is mostly con-
 52 stant with lead time and adjusted with a diffusion scheme, it is less clear what determines
 53 the effective resolution of AI models, which can also significantly change with lead time.
 54 In fact, many AI models seem to suffer from excess smoothing and loss of energy at small
 55 scales (Ben Bouallègue et al., 2024; Selz & Craig, 2023).

56 For MSE or MAE metrics, it is well known that the optimal prediction is the mean
 57 or median, respectively, of the predictive distribution (Section 8.2 of Hsieh, 2023). Hence,
 58 one might expect that an AI forecast is closely related to the mean of an ensemble fore-
 59 cast. However, it is difficult to see such a relationship in practice (Bonavita, 2024).

60 The effective resolution of a weather prediction model is important for several rea-
 61 sons. First, the low computational cost of running AI models enables the creation of large
 62 ensembles to more accurately represent the forecast distribution. However, if each mem-
 63 ber has a low effective resolution or even resembles an ensemble mean, crucial variabil-
 64 ity will be missing. Second, extreme events are often caused by a superposition of fea-

tures on many scales and a low resolution model may systematically underestimate them (e.g., Charlton-Perez et al., 2024). Third, for performance comparisons based on (root) mean square errors, smooth predictions will lead to better scores by avoiding the double-penalty effect, especially at long lead times (Ben Bouallègue et al., 2024; Bonavita, 2024), which has been framed as the “accuracy–activity trade-off” (Ben Bouallègue et al., 2024). Hence the question arises to what extent the better scores of the AI models are an artifact of their smoothness.

In this research letter, we show what effective resolution can be expected from the AI model in the ideal case of infinite capacity and perfect training and clarify the relationship between AI model predictions and the ensemble mean or median. Using forecasts from recent AI models, we then explore the practical validity of this argument and its limitations. Finally, we analyze and explain the effect of smoothing on error scores and suggest a spectral rescaling method for a “fairer”, resolution-independent comparison.

2 Models, Data and Methods

2.1 Mathematical argument

We start by presenting a mathematical argument that connects the effective resolution of the AI model to the design of the loss function. Consider a true initial condition state vector x_{t_0} , from which we want to calculate a prediction $\hat{x}_t^\theta(x_{t_0})$ using an AI model, where t_0 and t refer to the forecast init and valid time, respectively, and θ to the set of learnable parameters of the model. Since the initial state is typically estimated with a certain amount of uncertainty which will grow with forecast lead time $\tau = t - t_0$, perfect forecasts from such imperfect initial states will be samples from a predictive distribution $p(x_t|x_{t_0})$.

With the training of an AI system, one tries to estimate the set of parameters θ^* which minimize the expectation of a distance metric between model forecasts $\hat{x}_t^\theta(x_{t_0})$ and true states x_t , the so-called loss function. Here, we assume a simple L2 metric over the normalized state vector and discuss other metrics below. In an ideal setting, the expectation of the loss function is taken over all possible initial and final states, hence

$$\theta^* = \operatorname{argmin}_\theta \mathbb{E}_{p(x_t, x_{t_0})} \left[\|x_t - \hat{x}_t^\theta(x_{t_0})\|^2 \right]. \quad (1)$$

With the law of total expectation and by expanding the square, this can be rewritten as

$$\theta^* = \operatorname{argmin}_\theta \mathbb{E}_{p(x_{t_0})} \left[\|\mu_{t|t_0} - \hat{x}_t^\theta(x_{t_0})\|^2 \right], \quad (2)$$

where we have defined the mean of the predictive distribution

$$\mu_{t|t_0} := \int dx_t x_t p(x_t|x_{t_0}). \quad (3)$$

Consequently, the optimal prediction is the mean of the predictive distribution, i.e.:

$$\hat{x}_t^{\theta^*}(x_{t_0}) = \mu_{t|t_0}. \quad (4)$$

Some AI models use multiple time steps (t_1, \dots, t_n) inside the loss function and average over the individual losses:

$$\theta^* = \operatorname{argmin}_\theta \mathbb{E}_{p(x_{t_n}, \dots, x_{t_1}, x_{t_0})} \left[\sum_{t'=t_1}^{t_n} \|x_{t'} - \hat{x}_{t'}^\theta(x_{t_0})\|^2 \right]. \quad (5)$$

We will refer to this averaging period as the “lead time training interval”

$$\tau_{\text{train}} := t_n - t_0. \quad (6)$$

101 With the linearity of the expectation and the above we get

$$\theta^* = \operatorname{argmin}_{\theta} \sum_{t'=t_1}^{t_n} \mathbb{E}_{p(x_{t_0})} \left[\|\mu_{t'|t_0} - \hat{x}_{t'}^{\theta}(x_{t_0})\|^2 \right]. \quad (7)$$

102 Hence an optimal prediction will follow the mean of the predictive distribution over τ_{train} ,

$$\hat{x}_t^{\theta^*}(x_{t_0}) = \mu_{t|t_0}, \quad \text{for } t \in t_0 + [\tau_1, \dots, \tau_{\text{train}}]. \quad (8)$$

103 As we will see later in detail, this result has direct implications with respect to the ef-
104 fective resolution of the model, since unpredictable small-scale structures cancel out in
105 the mean.

106 A similar result holds for other loss functions: In the case of the widely used L1
107 metric it can be shown that an ideal prediction will follow the median of the predictive
108 distribution instead of the mean. Since most atmospheric variables have approximately
109 symmetric predictive distributions, the mean and median are similar.

110 For real-world AI models the expectation in the ideal loss function needs to be re-
111 placed by averages over a training dataset,

$$L \sim \sum_{t_0} \sum_{\tau} \sum_j w_j \left(x_{t_0+\tau}^{(j)} - \hat{x}_{t_0,\tau}^{\theta(j)} \right)^2, \quad (9)$$

112 with j indexing the model state vector (grid box, level, variable). Mostly, ERA5 reanal-
113 ysis (Hersbach et al., 2017) and IFS operational analysis have been used with initial times
114 (t_0) from the satellite era (since 1979) as estimates of the truth. It is common to insert
115 weighting factors w_j into the loss function (e.g., Bi et al., 2023). Also note that some
116 AI models target differences rather than the variable values directly. However, none of
117 these modifications affects the optimality results stated above.

118 Aside from these simple approaches, more complicated loss functions have some-
119 times been used, which also include non-linear functions of the state vector like spectra
120 (e.g., Kochkov et al., 2024). In such cases the presented mathematical argument may
121 not apply.

122 The ensemble median or mean is the target of training, but may not be achieved
123 in practice. Neural networks appear to exhibit a spectral bias (Xu et al., 2019; Rahaman
124 et al., 2019), where large spatial scales are learned first, and small scales may not be learned
125 at all (Chattopadhyay et al., 2024). Therefore, we hypothesize that AI models due to
126 lack of capacity or incomplete training will tend to be even smoother than the mean.

127 2.2 AI-model forecasts and data

128 To test the applicability of the mathematical argument, we analyze the effective
129 resolution of several different AI models.

130 Aurora (Bodnar et al., 2024) is a transformer-based model. Its basic version, in-
131 tended as a foundation model, is trained on a mixture of forecasts, analysis data, reanal-
132 ysis data, and climate simulations. Here, we consider two versions with additional fine-
133 tuning on IFS-HRES data. One version uses a short lead time training interval of only
134 the first two time steps (6 h, 12 h), which we refer to as Aurora-S (for short). The other
135 version uses a long lead time training interval of ten days, which we will call Aurora-L
136 (for long).

137 Pangu (Bi et al., 2023) is also a transformer-based model, which was trained on
138 ERA5 only. It comes in 4 different versions that perform forecasts for 4 different lead
139 times (1 h, 3 h, 6 h, 24 h). The 1-h, 3-h, and 6-h models produce far less accurate fore-
140 casts than the 24-h model and are intended to be used only to successively fill in time

141 steps. However, for the purpose of this study, we run each of these models individually.
 142 The lead time training interval for all of these models is only one time step.

143 GraphCast (Lam et al., 2023) is an AI model based on a graph neural network. Here
 144 we will not use the paper version, but the “operational” version, which has additional
 145 training on IFS-HRES data.

146 GenCast (Price et al., 2025), unlike the previous models, is trained to generate sam-
 147 ples from the forecast distribution. It creates forecasts from denoising random fields. For
 148 the purpose of this paper, we only consider a single ensemble member. Like with Graph-
 149 Cast, we use the “operational” version, which in addition to ERA5 has been trained on
 150 IFS-HRES data.

151 All of these models use a regular lat-lon grid with 0.25° grid spacing and either a
 152 simple L1 or L2 metric in their loss function. With each model, we conducted a sam-
 153 ple of 12 forecasts, initialized on the first day of each month of the year 2024. Unless stated
 154 otherwise, the presented results are averages over these cases to reduce random variabil-
 155 ity. All forecasts are carried out for 15 days lead time, except for Pangu-1h, which quickly
 156 became unstable. Regardless of its training dataset, we initialize every AI model with
 157 the IFS operational analysis.

158 To estimate the effective resolution of the models, we consider the kinetic energy
 159 spectrum at the upper troposphere (300 hPa), which follows known power laws (e.g., Nas-
 160 trom & Gage, 1985). Kinetic energy spectra are computed based on global spherical har-
 161 monic coefficients of divergence (d) and vorticity (ζ), which are calculated from the hor-
 162 izontal wind using the Climate Data Operators (CDO; Schulzweida, 2024). The kinetic
 163 energy of a total wave number l is then given by (see e.g., Augier & Lindborg, 2013)

$$\text{KE}(l) = \frac{r^2}{2l(l+1)} \sum_{m=-l}^l \left(|\zeta_{lm}|^2 + |d_{lm}|^2 \right), \quad (10)$$

164 where r is the radius of the earth and a wavelength $\lambda = 2\pi r/l$ is attributed to the global
 165 wave number l .

166 Finally, we need an estimate of the predictive distribution (3) to test the applica-
 167 bility of the mathematical argument. This will be taken from the ECMWF ensemble pre-
 168 diction system (IFS-ENS), a 50-member ensemble constructed from perturbations to sam-
 169 ple uncertainty in the initial conditions and the model (see <https://www.ecmwf.int>).
 170 Here, we only show empirical results using the mean, since mean and median are simi-
 171 lar for upper tropospheric winds but the median is more prone to sampling error.

172 The ensemble also includes an unperturbed control simulation (IFS-CTL), which
 173 since the resolution upgrade in June 2023 is identical to the former high-resolution de-
 174 terministic run (HRES) and will be used as reference. For validation, the IFS operational
 175 analysis is used as the ground truth.

176 3 Results

177 3.1 Effective resolution and ensemble mean

178 We start by investigating the effective resolution of the Aurora-S and Aurora-L model,
 179 which differ greatly in their lead time training interval (12 hours versus 10 days), but
 180 are otherwise identical. Figure 1 shows their kinetic energy spectra for four different lead
 181 times. The IFS ensemble mean serves as estimator of the predictive distribution. Due
 182 to uncertainty growth from initial condition and model uncertainty, as the forecast lead
 183 time increases more and more spatial scales become unpredictable, which leads to their
 184 cancellation in the ensemble mean. This process starts at the smallest scales and suc-
 185 cessively affects larger and larger scales with increasing lead time (e.g., Selz et al., 2022).

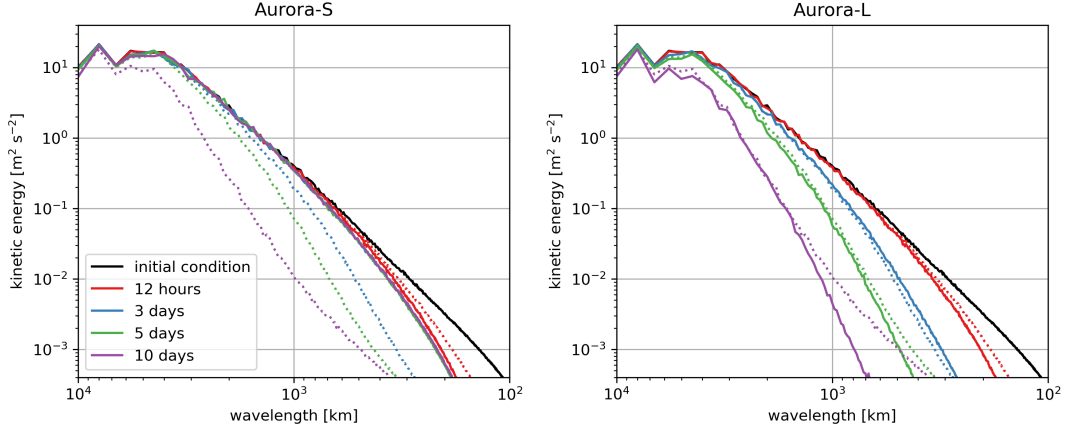


Figure 1. Kinetic energy spectra of Aurora-S (left) and Aurora-L (right), for several forecast lead times (solid lines). The dashed lines indicate the spectra of the IFS ensemble mean.

186 Hence, the “effective resolution” of the IFS ensemble mean continuously decreases with
 187 lead time and the kinetic energy becomes unrealistically low on larger and larger scales.

188 Looking at the Aurora-S simulations, the spectrum indicates an initial loss of small-
 189 scale energy in the first 12 hours, but stays approximately constant afterwards. For scales
 190 larger than about 300 km, the spectrum of Aurora-S stays close to the 12-h IFS ensemble
 191 mean. In contrast, the Aurora-L simulations constantly lose energy over lead time
 192 and follow the IFS ensemble mean closely, at least for amplitudes larger than $10^{-2} \text{ m}^2 \text{ s}^{-2}$.
 193 The discrepancy below is due to sampling errors from the relatively small IFS ensemble.
 194 Also keep in mind that the IFS ensemble mean is only an estimate of the predictive
 195 distribution.

196 These results clearly illustrate the importance of the lead time training interval for
 197 the effective resolution of deterministic AI models. While Aurora-S produces a largely
 198 stable spectrum, Aurora-L suffers from a continuous loss of kinetic energy and effective
 199 resolution and closely follows the IFS ensemble mean. To further demonstrate the sig-
 200 nificance of these differences, Fig. 2 shows maps from a single 10-day forecast from both
 201 Aurora models, the IFS-CTL and the IFS ensemble mean. Aurora-S and the IFS-CTL
 202 show pronounced Rossby wave structures with troughs and ridges and associated merid-
 203 ional winds. Although different from each other and from the truth, both states are ap-
 204 proximate realizations of the atmospheric flow or samples from the predictive distribu-
 205 tion. On the other hand, the loss of small-scale kinetic energy of the Aurora-L forecasts
 206 results in highly smoothed spatial fields with strongly damped Rossby waves. The re-
 207 semblance of Aurora-L to the IFS-ensemble mean is clearly visible. These forecasts are
 208 not possible realizations of the atmospheric flow, but they estimate the expectation of
 209 the predictive distribution.

210 3.2 Kinetic energy time series

211 In order to test the effective resolution and the applicability of the mathematical
 212 argument on further AI models, we integrate the kinetic energy between scales of 400 km
 213 and 4000 km. This results in a time series for each model that quantifies kinetic energy
 214 loss, which is shown in Figure 3, also including the IFS ensemble mean as reference.

215 We start with discussing the four different versions of Pangu, where the lead time
 216 training interval is only the first time step, i.e., 1 h, 3 h, 6 h, and 24 h, respectively. The
 217 kinetic energies at the end of the training intervals are close to the IFS ensemble mean,

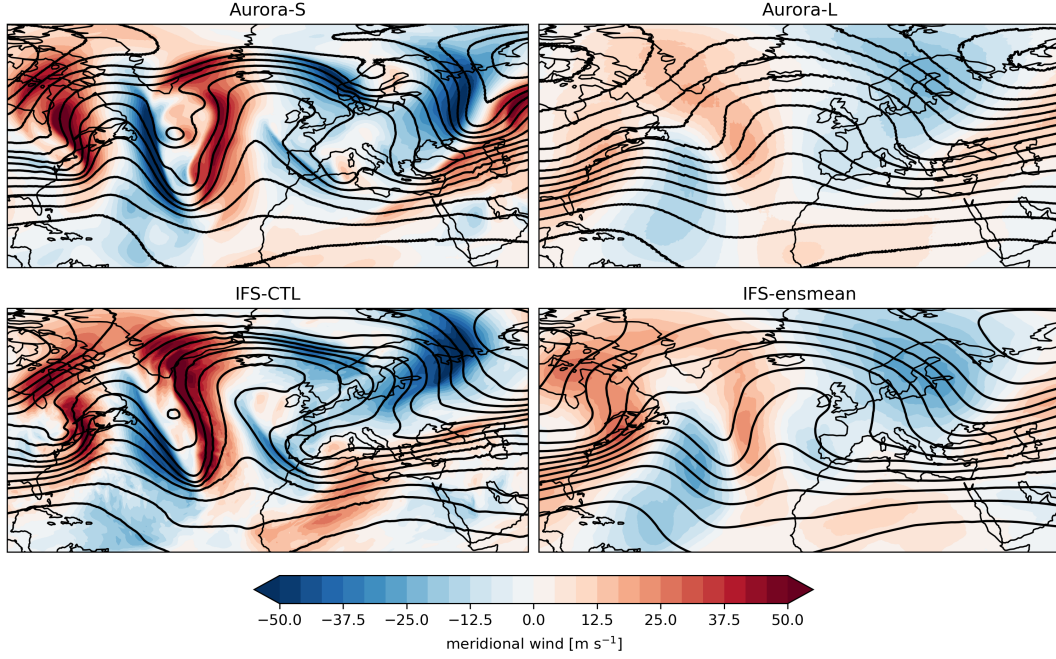


Figure 2. 10-day forecasts of 300 hPa meridional wind (color) and geopotential (lines, spacing $1000 \text{ m}^2 \text{ s}^{-2}$) over the North Atlantic and Europe for four different experiments. The forecasts were started on 1 Jan 2024, 0 UTC.

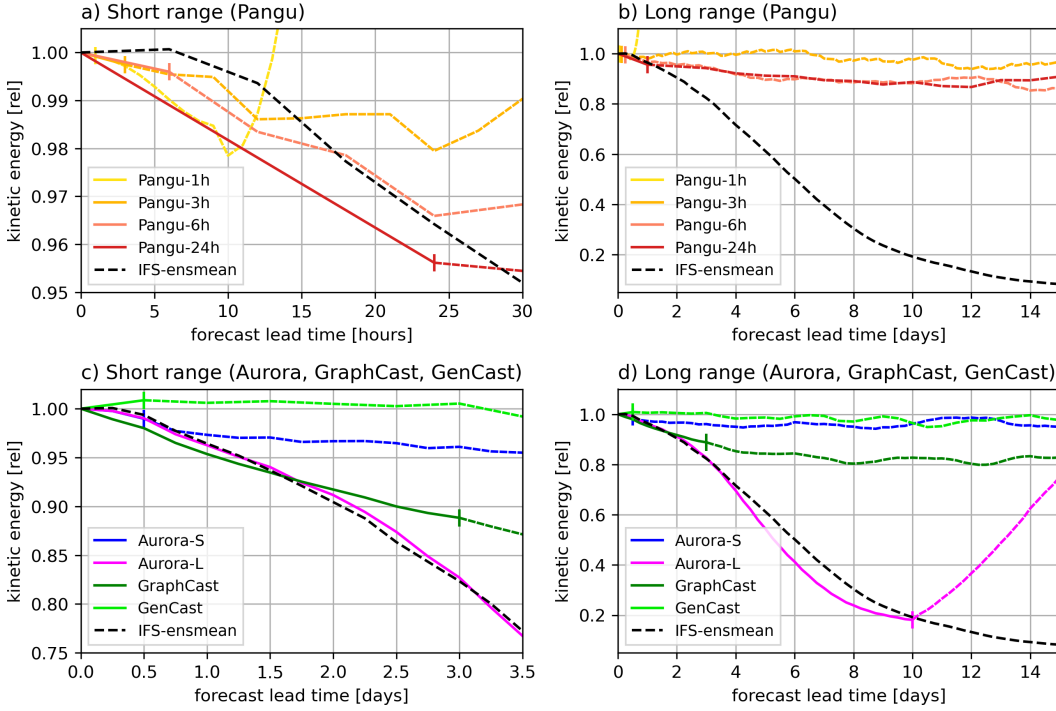


Figure 3. 300 hPa kinetic energy between 400 km and 4000 km wavelength over lead time, relative to initial condition. The plots on the left show a zoom into the initial period. Top and bottom rows show different sets of models. Solid lines indicate lead times within the training interval ($\tau \leq \tau_{\text{train}}$), and dashed lines indicate later lead times. A vertical bar is marking τ_{train} .

218 but slightly too low. Most notably, the 24-h model at its first time step has a much lower
 219 resolution compared to the other three models, which are roughly similar. After the train-
 220 ing interval, the 3-h, 6-h, and 24-h model further lose some kinetic energy, but after a
 221 few days show a more stable spectrum. The 1-h model however, after an initial loss of
 222 kinetic energy, quickly becomes unstable.

223 For the two Aurora models, Fig. 3 confirms the findings already discussed above:
 224 Aurora-S creates a basically stable spectrum, slightly below the IFS-ensemble mean value
 225 at the end of the 12-h training interval, while Aurora-L produces a constantly decaying
 226 spectrum, closely following the IFS ensemble mean over the 10-day training interval. Note
 227 however, that the kinetic energy of Aurora-L is increasing again after this 10-day period,
 228 which points to an accumulation of unphysical noise and indicates an unstable model
 229 that is not suitable for longer forecasts.

230 The GraphCast model with its 3-day training interval only roughly follows the IFS
 231 ensemble mean, being slightly smoother for the first 1.5 days, and less smooth for the
 232 second 1.5 days. This latter behavior contradicts our expectations by producing a fore-
 233 cast with higher effective resolution than the ensemble mean. However, GraphCast was
 234 trained using a curriculum approach in which training started with a single time inter-
 235 val and then slowly increased the lead time interval out to three days. This combined
 236 with the fact that GraphCast is a relatively small model is likely lead to the behavior
 237 noted above. After the 3 days there is some further decay of kinetic energy, but the spec-
 238 trum remains stable after about 6-7 days.

239 GenCast, which is not trained to approximate the ensemble mean or median, but
 240 to generate samples from the full distribution, is best able to retain the initial spectrum
 241 at all lead times.

242 3.3 Impact of the resolution on mean-square error scores

243 A standard way to evaluate the quality of deterministic weather forecasts is to com-
 244 pute the spatially averaged squared difference of some variable to a representation of the
 245 truth, referred to as mean-square error. Among others, Ben Bouallègue et al. (2024) demon-
 246 strated, that smooth (“low activity”) forecasts can lead to better MSE scores by avoid-
 247 ing the double-penalty effect. With the help of the kinetic energy spectrum, we formally
 248 explain the reason for the double-penalty effect and confirm it with our simulation data.

249 An area-weighted mean-square error over the entire globe can equally be computed
 250 from spherical harmonics expansions, since Parseval’s identity applies. This allows for
 251 a scale-dependent formulation of the error, which for error kinetic energy (EKE) reads

$$\text{EKE}(l) = \frac{r^2}{2l(l+1)} \sum_{m=-l}^l \left(|\hat{\zeta}_{lm} - \zeta_{lm}|^2 + |\hat{d}_{lm} - d_{lm}|^2 \right), \quad (11)$$

252 where the hat indicates the forecast and non-hat symbols indicate the truth (a similar
 253 formalism can be applied to limited domains using Fourier or Cosine transforms). The
 254 scale-dependent EKE of the 10-day forecasts is plotted in Fig. 4a, normalized with the
 255 kinetic energy (10) of the analysis. For reference, the equally normalized kinetic energy
 256 spectrum is shown in Fig. 4b.

257 To interpret these plots and to understand the double-penalty effect, we expand
 258 the absolute square difference,

$$\sum_m |\hat{\zeta}_{lm} - \zeta_{lm}|^2 = \sum_m \left[(\hat{r}_{lm} - r_{lm})^2 + 2\hat{r}_{lm}r_{lm}(1 - \cos(\hat{\phi}_{lm} - \phi_{lm})) \right], \quad (12)$$

259 where r_{lm} and ϕ_{lm} are amplitude and phase of the complex number ζ_{lm} , respectively.
 260 A similar expression holds for any other variable. Consider a mode l , that is no longer

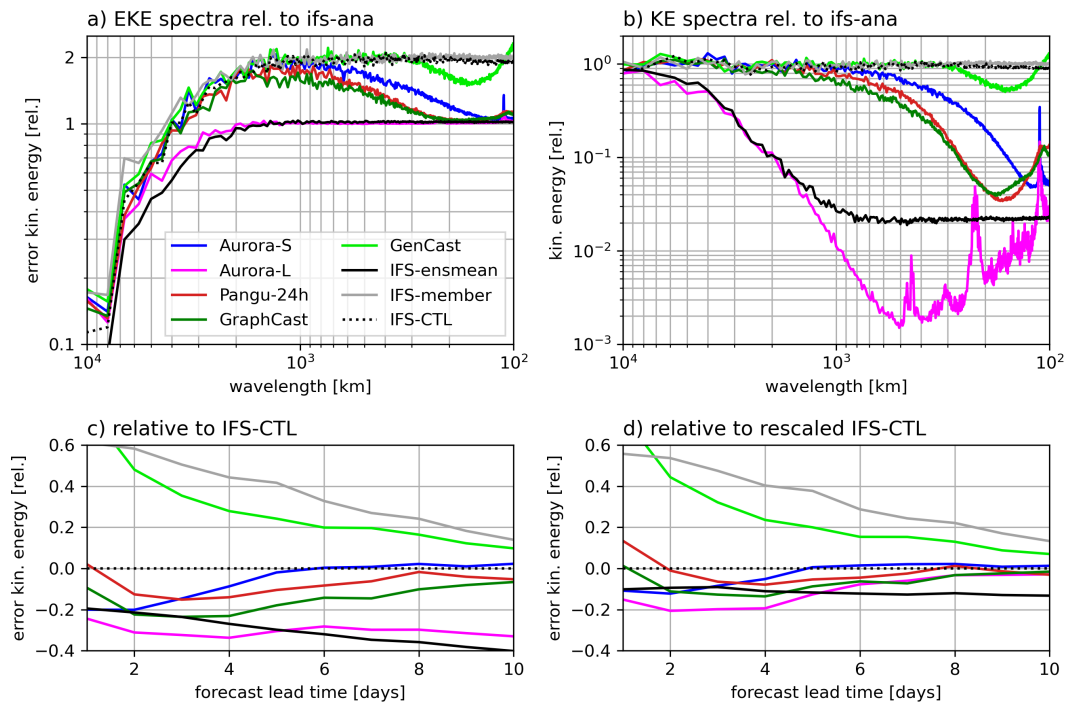


Figure 4. (a) Error kinetic energy spectra of 10-day forecasts over wavelength, relative to the kinetic energy spectrum of the IFS analysis. (b) Same as a, but for kinetic energy spectra. (c) Globally averaged EKE relative to IFS-CTL, computed using (11) and summing over l . (d) Same as c, but relative to a rescaled version of the IFS-CTL by applying (13). Note that these rescale factors differ, depending on the model IFS-CTL was compared to.

261 predictable. If the model returns zero for that mode, the second term on the left hand
 262 side in (12) vanishes and the error equals the amplitude of the analysis spectrum. On
 263 the other hand, if the model maintains the correct amplitude but predicts a random phase,
 264 the first term vanishes and the error equals *twice* the analysis spectrum (since the ex-
 265 pectation of the cosine term is zero) and therefore twice the error compared to predict-
 266 ing zeros (hence double-penalty).

267 This relation between the error (EKE) and the amplitude (KE) for unpredictable
 268 modes becomes evident from our data by comparing Figs. 4a and b: Aurora-L and the
 269 IFS ensemble mean produce a normalized EKE of one for scales smaller than 2000 km
 270 and at the same time an amplitude close to zero. The other models resemble the IFS-
 271 CTL for scales larger than around 1000 km, producing an EKE of two, but an almost
 272 realistic amplitude. Towards small scales, the normalized EKE of all AI models except
 273 GenCast drops to one as a consequence of their decaying KE. The consequence of the
 274 double-penalty effect can also clearly be seen in the EKE time series (Fig. 4c), where smooth
 275 forecasts (IFS ensemble mean and Aurora-L) clearly outperform the IFS-CTL and ev-
 276 ery other model, most significantly at long lead times.

277 As demonstrated, the scores of the AI models are enhanced by the cancellation of
 278 unpredictable modes, which does not indicate a “true” advantage. But the question re-
 279 mains, to what extent? One possibility to exclude the smoothing benefit from a com-
 280 parison of two models is to equalize their spectra before calculating the EKE or any other
 281 mean square error. This can be done by rescaling (damping) the spectral modes of model
 282 B to the amplitude of the smoother model A, i.e.,

$$\zeta_{lm}^B \rightarrow \sqrt{\frac{\sum_m |\zeta_{lm}^A|^2}{\sum_m |\zeta_{lm}^B|^2}} \zeta_{lm}^B, \quad (13)$$

283 and similarly for other variables.

284 The result of such a comparison is shown in Fig. 4d, where the IFS-CTL spectrum
 285 was rescaled to the AI model spectrum. One can see, that the superior skills of the IFS
 286 ensemble mean and Aurora-L from Fig. 4c are greatly reduced, especially at long lead
 287 times. Indeed for lead times greater than about one week, all AI models perform equally
 288 well compared to IFS-CTL, or rather equally badly since there is little practical predictabil-
 289 ity remaining (Buizza & Leutbecher, 2015; Selz et al., 2022). The difference between Figs. 4c
 290 and d is directly correlated to the amount of smoothing produced by the models: It is
 291 large for the IFS ensemble mean and Aurora-L, but small for models that approximately
 292 maintain the KE spectrum, like Aurora-S, Pangu and GenCast. Note that GenCast is
 293 trained to generate samples of the predictive distribution and hence introduces pertur-
 294 bations, which lead to larger errors, especially at early lead times. An even slightly worse
 295 degradation of the EKE can be seen from an individual member of the IFS ensemble.

296 4 Discussion

297 In summary, we demonstrated with a mathematical argument that the lead time
 298 interval in the loss function crucially determines the kinetic energy spectrum and hence
 299 the effective resolution of an AI model. If perfectly trained, a model would follow the
 300 spectrum of an ideal ensemble mean over that interval and continuously drop unpredictable
 301 modes, leading to increasingly smooth forecasts. We also confirmed that smooth fore-
 302 casts produce much better mean-square error scores by avoiding the double penalty ef-
 303 fect and we suggested a method to correct for that.

304 From our findings, we can identify two basic approaches to weather forecasting with
 305 AI: Either a model could be designed to generate samples from the predictive distribu-
 306 tion, in which case the lead time training interval should be kept as short as possible.
 307 Alternatively, a model could be designed to generate the expectation (the ensemble mean)

308 of the predictive distribution, in which case the lead time training interval should extend
309 to the entire intended forecast lead time.

310 Both approaches have their justification, however, they should not be mixed and
311 it should be made clear, which one was chosen, since this has consequences for the usage
312 of the model. Models of the first type (Aurora-S, Pangu) can be used to sample the
313 forecast distribution by means of an ensemble, stated from an initial condition sample
314 or using intrinsic stochasticity (GenCast). Each simulation resembles a possible state of
315 the atmosphere that, at least approximately, is physically consistent. Models of the second
316 type on the other hand (like Aurora-L) are not suitable to generate ensembles, do
317 not produce possible realizations of the atmospheric flow and their output is physically
318 inconsistent. However, they do resemble the remaining predictable structures in a single
319 run and predictability can be inferred from the remaining spatial scales.

320 Although the lead time training interval is crucial for the model's effective resolution,
321 it cannot explain every aspect of it. Most importantly, the presented mathematical argument
322 does not hold for predictions outside of the lead time training interval. In this case,
323 previous forecasts are being fed into the model, which resemble the mean and are much
324 smoother than the training dataset. The reaction of the model to this inconsistency is
325 largely unconstrained. In fact, some models in our study show instability after the lead
326 time training interval and most models continue to lose some kinetic energy. In addition,
327 no model was able to maintain the kinetic energy spectrum on scales smaller than about
328 300-400 km. Potential reasons for these effects include insufficient training, insufficient
329 capacity, limited sample size of the training data or limitations in the design of the
330 network.

331 Open Research Section

332 The AI-model weights, example code and documentation can be found on github:
333 <https://github.com/google-deepmind/GraphCast>, <https://github.com/microsoft/aurora>,
334 <https://github.com/198808xc/Pangu-Weather>. The spherical harmonic coefficients
335 of the forecast data are available at <https://opendata.physik.lmu.de/H66gKyhITQ7qS51>
336 (permanent link after acceptance). The IFS operational analyses, the IFS-CTL and IFS-
337 ENS forecast were retrieved from ECMWF's operational archive (<https://apps.ecmwf.int/archive-catalogue/?class=od>). To obtain access, visit <https://www.ecmwf.int/en/forecasts/accessing-forecasts>
338 for further information.
339

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On the effective resolution of AI weather prediction models

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Key Points:

- The effective resolution of an ideal AI model is determined by the spectrum of the ensemble mean at the lead times used in the loss function
- Real-world AI models approximate this behavior, but with a bias towards spatial smoothing
- Smooth models get better scores by avoiding the double-penalty effect

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Abstract

In this study, we investigate the effective resolution of deterministic AI weather prediction models. We find that an ideal, perfectly trained AI model follows the mean of the predictive distribution for the lead time interval which is used in its loss function during training. We demonstrate the consequences and limitations of this result with forecast data from various AI models, including Aurora, Pangu, GraphCast and GenCast and we compare them to ensemble and deterministic forecasts from the European Centre for Medium Range Weather Forecasting. We further demonstrate the impact of the resolution on mean-square error scores and suggest a method for a fairer comparison of two models with different effective resolution.

Plain Language Summary

In recent years, models based on artificial intelligence (AI) have become equally good or even better at predicting the weather than standard models, which are based on solving physical equations. However, AI models often produce overly smooth forecasts, which lack relevant small-scale spatial structures. Here, we develop a mathematical argument to better understand this low “effective resolution” and investigate its applicability on recently developed AI models. It turns out that the lead time interval that is used during training plays a crucial role. Ironically, smooth forecasts can produce better scores by ignoring the small-scale structures and appear better than they actually are. We suggest a method to correct for this sometimes unwanted effect and get to a fairer comparison.

1 Introduction

Recently, several weather prediction models became available which use artificial intelligence (AI) to compute a deterministic forecast of the atmospheric state from an initial state (e.g., Bi et al., 2023; Lam et al., 2023; Bodnar et al., 2024). They have been trained on past atmospheric data and use mean square error (MSE) or mean absolute error (MAE) metrics to estimate their loss during training. These models have achieved similar or even better scores relative to “standard” numerical weather prediction models, which are based on solvers of the fluid equations, most notably the leading operational model — the Integrated Forecasting System (IFS) from ECMWF.

The spatial resolution of a weather model is defined as the size of its grid boxes. However, its “true” or “effective” resolution is usually much lower and is defined as the smallest spatial scale where atmospheric structures are reproduced with realistic amplitudes. The lower the effective resolution of a model, the smoother the forecast fields appear visually. While the effective resolution of standard weather models is mostly constant with lead time and adjusted with a diffusion scheme, it is less clear what determines the effective resolution of AI models, which can also significantly change with lead time. In fact, many AI models seem to suffer from excess smoothing and loss of energy at small scales (Ben Bouallègue et al., 2024; Selz & Craig, 2023).

For MSE or MAE metrics, it is well known that the optimal prediction is the mean or median, respectively, of the predictive distribution (Section 8.2 of Hsieh, 2023). Hence, one might expect that an AI forecast is closely related to the mean of an ensemble forecast. However, it is difficult to see such a relationship in practice (Bonavita, 2024).

The effective resolution of a weather prediction model is important for several reasons. First, the low computational cost of running AI models enables the creation of large ensembles to more accurately represent the forecast distribution. However, if each member has a low effective resolution or even resembles an ensemble mean, crucial variability will be missing. Second, extreme events are often caused by a superposition of fea-

65 tures on many scales and a low resolution model may systematically underestimate them
 66 (e.g., Charlton-Perez et al., 2024). Third, for performance comparisons based on (root)
 67 mean square errors, smooth predictions will lead to better scores by avoiding the double-
 68 penalty effect, especially at long lead times (Ben Bouallègue et al., 2024; Bonavita, 2024),
 69 which has been framed as the “accuracy–activity trade-off” (Ben Bouallègue et al., 2024).
 70 Hence the question arises to what extent the better scores of the AI models are an ar-
 71 tifact of their smoothness.

72 In this research letter, we show what effective resolution can be expected from the
 73 AI model in the ideal case of infinite capacity and perfect training and clarify the rela-
 74 tionship between AI model predictions and the ensemble mean or median. Using fore-
 75 casts from recent AI models, we then explore the practical validity of this argument and
 76 its limitations. Finally, we analyze and explain the effect of smoothing on error scores
 77 and suggest a spectral rescaling method for a “fairer”, resolution-independent compar-
 78 ison.

79 2 Models, Data and Methods

80 2.1 Mathematical argument

81 We start by presenting a mathematical argument that connects the effective res-
 82 olution of the AI model to the design of the loss function. Consider a true initial con-
 83 dition state vector x_{t_0} , from which we want to calculate a prediction $\hat{x}_t^\theta(x_{t_0})$ using an
 84 AI model, where t_0 and t refer to the forecast init and valid time, respectively, and θ to
 85 the set of learnable parameters of the model. Since the initial state is typically estimated
 86 with a certain amount of uncertainty which will grow with forecast lead time $\tau = t -$
 87 t_0 , perfect forecasts from such imperfect initial states will be samples from a predictive
 88 distribution $p(x_t|x_{t_0})$.

89 With the training of an AI system, one tries to estimate the set of parameters θ^*
 90 which minimize the expectation of a distance metric between model forecasts $\hat{x}_t^\theta(x_{t_0})$ and
 91 true states x_t , the so-called loss function. Here, we assume a simple L2 metric over the
 92 normalized state vector and discuss other metrics below. In an ideal setting, the expect-
 93 ation of the loss function is taken over all possible initial and final states, hence

$$\theta^* = \operatorname{argmin}_\theta \mathbb{E}_{p(x_t, x_{t_0})} \left[\|x_t - \hat{x}_t^\theta(x_{t_0})\|^2 \right]. \quad (1)$$

94 With the law of total expectation and by expanding the square, this can be rewritten
 95 as

$$\theta^* = \operatorname{argmin}_\theta \mathbb{E}_{p(x_{t_0})} \left[\|\mu_{t|t_0} - \hat{x}_t^\theta(x_{t_0})\|^2 \right], \quad (2)$$

96 where we have defined the mean of the predictive distribution

$$\mu_{t|t_0} := \int dx_t x_t p(x_t|x_{t_0}). \quad (3)$$

97 Consequently, the optimal prediction is the mean of the predictive distribution, i.e.:

$$\hat{x}_t^{\theta^*}(x_{t_0}) = \mu_{t|t_0}. \quad (4)$$

98 Some AI models use multiple time steps (t_1, \dots, t_n) inside the loss function and
 99 average over the individual losses:

$$\theta^* = \operatorname{argmin}_\theta \mathbb{E}_{p(x_{t_n}, \dots, x_{t_1}, x_{t_0})} \left[\sum_{t'=t_1}^{t_n} \|x_{t'} - \hat{x}_{t'}^\theta(x_{t_0})\|^2 \right]. \quad (5)$$

100 We will refer to this averaging period as the “lead time training interval”

$$\tau_{\text{train}} := t_n - t_0. \quad (6)$$

101 With the linearity of the expectation and the above we get

$$\theta^* = \operatorname{argmin}_{\theta} \sum_{t'=t_1}^{t_n} \mathbb{E}_{p(x_{t_0})} \left[\|\mu_{t'|t_0} - \hat{x}_{t'}^{\theta}(x_{t_0})\|^2 \right]. \quad (7)$$

102 Hence an optimal prediction will follow the mean of the predictive distribution over τ_{train} ,

$$\hat{x}_t^{\theta^*}(x_{t_0}) = \mu_{t|t_0}, \quad \text{for } t \in t_0 + [\tau_1, \dots, \tau_{\text{train}}]. \quad (8)$$

103 As we will see later in detail, this result has direct implications with respect to the ef-
104 fective resolution of the model, since unpredictable small-scale structures cancel out in
105 the mean.

106 A similar result holds for other loss functions: In the case of the widely used L1
107 metric it can be shown that an ideal prediction will follow the median of the predictive
108 distribution instead of the mean. Since most atmospheric variables have approximately
109 symmetric predictive distributions, the mean and median are similar.

110 For real-world AI models the expectation in the ideal loss function needs to be re-
111 placed by averages over a training dataset,

$$L \sim \sum_{t_0} \sum_{\tau} \sum_j w_j \left(x_{t_0+\tau}^{(j)} - \hat{x}_{t_0,\tau}^{\theta(j)} \right)^2, \quad (9)$$

112 with j indexing the model state vector (grid box, level, variable). Mostly, ERA5 reanal-
113 ysis (Hersbach et al., 2017) and IFS operational analysis have been used with initial times
114 (t_0) from the satellite era (since 1979) as estimates of the truth. It is common to insert
115 weighting factors w_j into the loss function (e.g., Bi et al., 2023). Also note that some
116 AI models target differences rather than the variable values directly. However, none of
117 these modifications affects the optimality results stated above.

118 Aside from these simple approaches, more complicated loss functions have some-
119 times been used, which also include non-linear functions of the state vector like spectra
120 (e.g., Kochkov et al., 2024). In such cases the presented mathematical argument may
121 not apply.

122 The ensemble median or mean is the target of training, but may not be achieved
123 in practice. Neural networks appear to exhibit a spectral bias (Xu et al., 2019; Rahaman
124 et al., 2019), where large spatial scales are learned first, and small scales may not be learned
125 at all (Chattopadhyay et al., 2024). Therefore, we hypothesize that AI models due to
126 lack of capacity or incomplete training will tend to be even smoother than the mean.

127 2.2 AI-model forecasts and data

128 To test the applicability of the mathematical argument, we analyze the effective
129 resolution of several different AI models.

130 Aurora (Bodnar et al., 2024) is a transformer-based model. Its basic version, in-
131 tended as a foundation model, is trained on a mixture of forecasts, analysis data, reanal-
132 ysis data, and climate simulations. Here, we consider two versions with additional fine-
133 tuning on IFS-HRES data. One version uses a short lead time training interval of only
134 the first two time steps (6 h, 12 h), which we refer to as Aurora-S (for short). The other
135 version uses a long lead time training interval of ten days, which we will call Aurora-L
136 (for long).

137 Pangu (Bi et al., 2023) is also a transformer-based model, which was trained on
138 ERA5 only. It comes in 4 different versions that perform forecasts for 4 different lead
139 times (1 h, 3 h, 6 h, 24 h). The 1-h, 3-h, and 6-h models produce far less accurate fore-
140 casts than the 24-h model and are intended to be used only to successively fill in time

141 steps. However, for the purpose of this study, we run each of these models individually.
 142 The lead time training interval for all of these models is only one time step.

143 GraphCast (Lam et al., 2023) is an AI model based on a graph neural network. Here
 144 we will not use the paper version, but the “operational” version, which has additional
 145 training on IFS-HRES data.

146 GenCast (Price et al., 2025), unlike the previous models, is trained to generate sam-
 147 ples from the forecast distribution. It creates forecasts from denoising random fields. For
 148 the purpose of this paper, we only consider a single ensemble member. Like with Graph-
 149 Cast, we use the “operational” version, which in addition to ERA5 has been trained on
 150 IFS-HRES data.

151 All of these models use a regular lat-lon grid with 0.25° grid spacing and either a
 152 simple L1 or L2 metric in their loss function. With each model, we conducted a sam-
 153 ple of 12 forecasts, initialized on the first day of each month of the year 2024. Unless stated
 154 otherwise, the presented results are averages over these cases to reduce random variabil-
 155 ity. All forecasts are carried out for 15 days lead time, except for Pangu-1h, which quickly
 156 became unstable. Regardless of its training dataset, we initialize every AI model with
 157 the IFS operational analysis.

158 To estimate the effective resolution of the models, we consider the kinetic energy
 159 spectrum at the upper troposphere (300 hPa), which follows known power laws (e.g., Nas-
 160 trom & Gage, 1985). Kinetic energy spectra are computed based on global spherical har-
 161 monic coefficients of divergence (d) and vorticity (ζ), which are calculated from the hor-
 162 izontal wind using the Climate Data Operators (CDO; Schulzweida, 2024). The kinetic
 163 energy of a total wave number l is then given by (see e.g., Augier & Lindborg, 2013)

$$\text{KE}(l) = \frac{r^2}{2l(l+1)} \sum_{m=-l}^l \left(|\zeta_{lm}|^2 + |d_{lm}|^2 \right), \quad (10)$$

164 where r is the radius of the earth and a wavelength $\lambda = 2\pi r/l$ is attributed to the global
 165 wave number l .

166 Finally, we need an estimate of the predictive distribution (3) to test the applica-
 167 bility of the mathematical argument. This will be taken from the ECMWF ensemble pre-
 168 diction system (IFS-ENS), a 50-member ensemble constructed from perturbations to sam-
 169 ple uncertainty in the initial conditions and the model (see <https://www.ecmwf.int>).
 170 Here, we only show empirical results using the mean, since mean and median are simi-
 171 lar for upper tropospheric winds but the median is more prone to sampling error.

172 The ensemble also includes an unperturbed control simulation (IFS-CTL), which
 173 since the resolution upgrade in June 2023 is identical to the former high-resolution de-
 174 terministic run (HRES) and will be used as reference. For validation, the IFS operational
 175 analysis is used as the ground truth.

176 3 Results

177 3.1 Effective resolution and ensemble mean

178 We start by investigating the effective resolution of the Aurora-S and Aurora-L model,
 179 which differ greatly in their lead time training interval (12 hours versus 10 days), but
 180 are otherwise identical. Figure 1 shows their kinetic energy spectra for four different lead
 181 times. The IFS ensemble mean serves as estimator of the predictive distribution. Due
 182 to uncertainty growth from initial condition and model uncertainty, as the forecast lead
 183 time increases more and more spatial scales become unpredictable, which leads to their
 184 cancellation in the ensemble mean. This process starts at the smallest scales and suc-
 185 cessively affects larger and larger scales with increasing lead time (e.g., Selz et al., 2022).

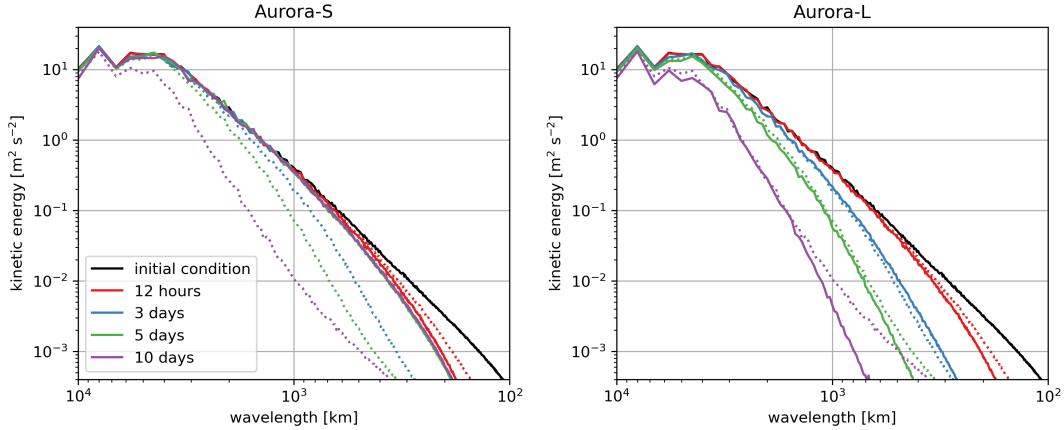


Figure 1. Kinetic energy spectra of Aurora-S (left) and Aurora-L (right), for several forecast lead times (solid lines). The dashed lines indicate the spectra of the IFS ensemble mean.

186 Hence, the “effective resolution” of the IFS ensemble mean continuously decreases with
 187 lead time and the kinetic energy becomes unrealistically low on larger and larger scales.

188 Looking at the Aurora-S simulations, the spectrum indicates an initial loss of small-
 189 scale energy in the first 12 hours, but stays approximately constant afterwards. For scales
 190 larger than about 300 km, the spectrum of Aurora-S stays close to the 12-h IFS ensemble
 191 mean. In contrast, the Aurora-L simulations constantly lose energy over lead time
 192 and follow the IFS ensemble mean closely, at least for amplitudes larger than $10^{-2} \text{ m}^2 \text{ s}^{-2}$.
 193 The discrepancy below is due to sampling errors from the relatively small IFS ensemble.
 194 Also keep in mind that the IFS ensemble mean is only an estimate of the predictive
 195 distribution.

196 These results clearly illustrate the importance of the lead time training interval for
 197 the effective resolution of deterministic AI models. While Aurora-S produces a largely
 198 stable spectrum, Aurora-L suffers from a continuous loss of kinetic energy and effective
 199 resolution and closely follows the IFS ensemble mean. To further demonstrate the sig-
 200 nificance of these differences, Fig. 2 shows maps from a single 10-day forecast from both
 201 Aurora models, the IFS-CTL and the IFS ensemble mean. Aurora-S and the IFS-CTL
 202 show pronounced Rossby wave structures with troughs and ridges and associated merid-
 203 ional winds. Although different from each other and from the truth, both states are ap-
 204 proximate realizations of the atmospheric flow or samples from the predictive distribu-
 205 tion. On the other hand, the loss of small-scale kinetic energy of the Aurora-L forecasts
 206 results in highly smoothed spatial fields with strongly damped Rossby waves. The re-
 207 semblance of Aurora-L to the IFS-ensemble mean is clearly visible. These forecasts are
 208 not possible realizations of the atmospheric flow, but they estimate the expectation of
 209 the predictive distribution.

210 3.2 Kinetic energy time series

211 In order to test the effective resolution and the applicability of the mathematical
 212 argument on further AI models, we integrate the kinetic energy between scales of 400 km
 213 and 4000 km. This results in a time series for each model that quantifies kinetic energy
 214 loss, which is shown in Figure 3, also including the IFS ensemble mean as reference.

215 We start with discussing the four different versions of Pangu, where the lead time
 216 training interval is only the first time step, i.e., 1 h, 3 h, 6 h, and 24 h, respectively. The
 217 kinetic energies at the end of the training intervals are close to the IFS ensemble mean,

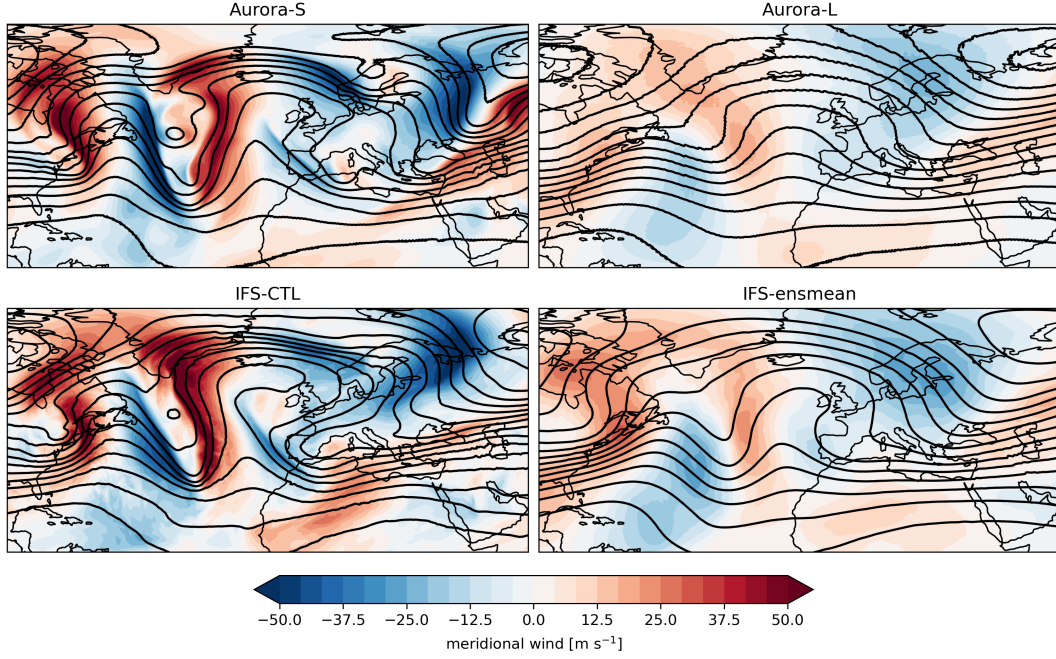


Figure 2. 10-day forecasts of 300 hPa meridional wind (color) and geopotential (lines, spacing $1000 \text{ m}^2 \text{ s}^{-2}$) over the North Atlantic and Europe for four different experiments. The forecasts were started on 1 Jan 2024, 0 UTC.

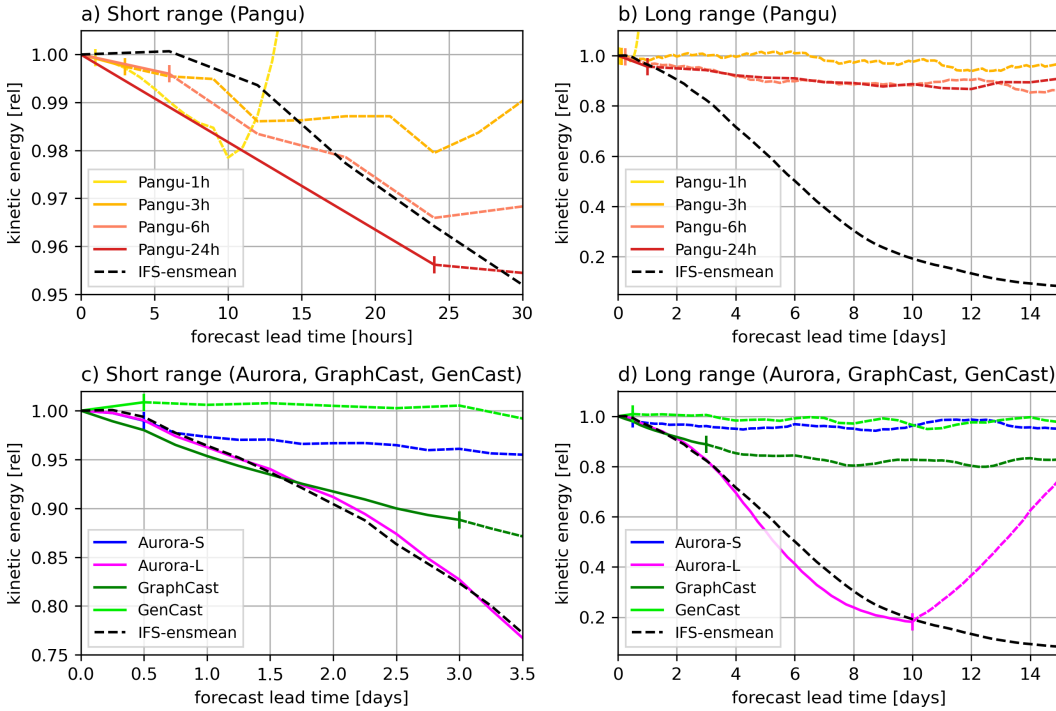


Figure 3. 300 hPa kinetic energy between 400 km and 4000 km wavelength over lead time, relative to initial condition. The plots on the left show a zoom into the initial period. Top and bottom rows show different sets of models. Solid lines indicate lead times within the training interval ($\tau \leq \tau_{\text{train}}$), and dashed lines indicate later lead times. A vertical bar is marking τ_{train} .

218 but slightly too low. Most notably, the 24-h model at its first time step has a much lower
 219 resolution compared to the other three models, which are roughly similar. After the train-
 220 ing interval, the 3-h, 6-h, and 24-h model further lose some kinetic energy, but after a
 221 few days show a more stable spectrum. The 1-h model however, after an initial loss of
 222 kinetic energy, quickly becomes unstable.

223 For the two Aurora models, Fig. 3 confirms the findings already discussed above:
 224 Aurora-S creates a basically stable spectrum, slightly below the IFS-ensemble mean value
 225 at the end of the 12-h training interval, while Aurora-L produces a constantly decaying
 226 spectrum, closely following the IFS ensemble mean over the 10-day training interval. Note
 227 however, that the kinetic energy of Aurora-L is increasing again after this 10-day period,
 228 which points to an accumulation of unphysical noise and indicates an unstable model
 229 that is not suitable for longer forecasts.

230 The GraphCast model with its 3-day training interval only roughly follows the IFS
 231 ensemble mean, being slightly smoother for the first 1.5 days, and less smooth for the
 232 second 1.5 days. This latter behavior contradicts our expectations by producing a fore-
 233 cast with higher effective resolution than the ensemble mean. However, GraphCast was
 234 trained using a curriculum approach in which training started with a single time inter-
 235 val and then slowly increased the lead time interval out to three days. This combined
 236 with the fact that GraphCast is a relatively small model is likely lead to the behavior
 237 noted above. After the 3 days there is some further decay of kinetic energy, but the spec-
 238 trum remains stable after about 6-7 days.

239 GenCast, which is not trained to approximate the ensemble mean or median, but
 240 to generate samples from the full distribution, is best able to retain the initial spectrum
 241 at all lead times.

242 3.3 Impact of the resolution on mean-square error scores

243 A standard way to evaluate the quality of deterministic weather forecasts is to com-
 244 pute the spatially averaged squared difference of some variable to a representation of the
 245 truth, referred to as mean-square error. Among others, Ben Bouallègue et al. (2024) demon-
 246 strated, that smooth (“low activity”) forecasts can lead to better MSE scores by avoid-
 247 ing the double-penalty effect. With the help of the kinetic energy spectrum, we formally
 248 explain the reason for the double-penalty effect and confirm it with our simulation data.

249 An area-weighted mean-square error over the entire globe can equally be computed
 250 from spherical harmonics expansions, since Parseval’s identity applies. This allows for
 251 a scale-dependent formulation of the error, which for error kinetic energy (EKE) reads

$$\text{EKE}(l) = \frac{r^2}{2l(l+1)} \sum_{m=-l}^l \left(|\hat{\zeta}_{lm} - \zeta_{lm}|^2 + |\hat{d}_{lm} - d_{lm}|^2 \right), \quad (11)$$

252 where the hat indicates the forecast and non-hat symbols indicate the truth (a similar
 253 formalism can be applied to limited domains using Fourier or Cosine transforms). The
 254 scale-dependent EKE of the 10-day forecasts is plotted in Fig. 4a, normalized with the
 255 kinetic energy (10) of the analysis. For reference, the equally normalized kinetic energy
 256 spectrum is shown in Fig. 4b.

257 To interpret these plots and to understand the double-penalty effect, we expand
 258 the absolute square difference,

$$\sum_m |\hat{\zeta}_{lm} - \zeta_{lm}|^2 = \sum_m \left[(\hat{r}_{lm} - r_{lm})^2 + 2\hat{r}_{lm}r_{lm}(1 - \cos(\hat{\phi}_{lm} - \phi_{lm})) \right], \quad (12)$$

259 where r_{lm} and ϕ_{lm} are amplitude and phase of the complex number ζ_{lm} , respectively.
 260 A similar expression holds for any other variable. Consider a mode l , that is no longer

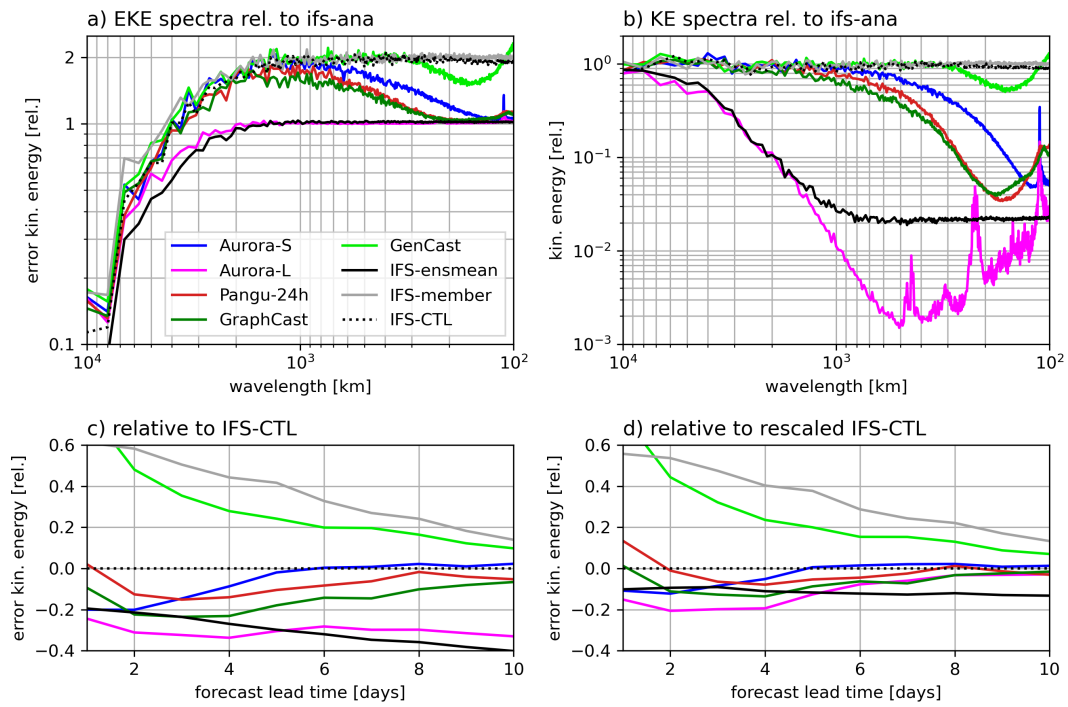


Figure 4. (a) Error kinetic energy spectra of 10-day forecasts over wavelength, relative to the kinetic energy spectrum of the IFS analysis. (b) Same as a, but for kinetic energy spectra. (c) Globally averaged EKE relative to IFS-CTL, computed using (11) and summing over l . (d) Same as c, but relative to a rescaled version of the IFS-CTL by applying (13). Note that these rescale factors differ, depending on the model IFS-CTL was compared to.

261 predictable. If the model returns zero for that mode, the second term on the left hand
 262 side in (12) vanishes and the error equals the amplitude of the analysis spectrum. On
 263 the other hand, if the model maintains the correct amplitude but predicts a random phase,
 264 the first term vanishes and the error equals *twice* the analysis spectrum (since the ex-
 265 pectation of the cosine term is zero) and therefore twice the error compared to predict-
 266 ing zeros (hence double-penalty).

267 This relation between the error (EKE) and the amplitude (KE) for unpredictable
 268 modes becomes evident from our data by comparing Figs. 4a and b: Aurora-L and the
 269 IFS ensemble mean produce a normalized EKE of one for scales smaller than 2000 km
 270 and at the same time an amplitude close to zero. The other models resemble the IFS-
 271 CTL for scales larger than around 1000 km, producing an EKE of two, but an almost
 272 realistic amplitude. Towards small scales, the normalized EKE of all AI models except
 273 GenCast drops to one as a consequence of their decaying KE. The consequence of the
 274 double-penalty effect can also clearly be seen in the EKE time series (Fig. 4c), where smooth
 275 forecasts (IFS ensemble mean and Aurora-L) clearly outperform the IFS-CTL and ev-
 276 ery other model, most significantly at long lead times.

277 As demonstrated, the scores of the AI models are enhanced by the cancellation of
 278 unpredictable modes, which does not indicate a “true” advantage. But the question re-
 279 mains, to what extent? One possibility to exclude the smoothing benefit from a com-
 280 parison of two models is to equalize their spectra before calculating the EKE or any other
 281 mean square error. This can be done by rescaling (damping) the spectral modes of model
 282 B to the amplitude of the smoother model A, i.e.,

$$\zeta_{lm}^B \rightarrow \sqrt{\frac{\sum_m |\zeta_{lm}^A|^2}{\sum_m |\zeta_{lm}^B|^2}} \zeta_{lm}^B, \quad (13)$$

283 and similarly for other variables.

284 The result of such a comparison is shown in Fig. 4d, where the IFS-CTL spectrum
 285 was rescaled to the AI model spectrum. One can see, that the superior skills of the IFS
 286 ensemble mean and Aurora-L from Fig. 4c are greatly reduced, especially at long lead
 287 times. Indeed for lead times greater than about one week, all AI models perform equally
 288 well compared to IFS-CTL, or rather equally badly since there is little practical predictabil-
 289 ity remaining (Buizza & Leutbecher, 2015; Selz et al., 2022). The difference between Figs. 4c
 290 and d is directly correlated to the amount of smoothing produced by the models: It is
 291 large for the IFS ensemble mean and Aurora-L, but small for models that approximately
 292 maintain the KE spectrum, like Aurora-S, Pangu and GenCast. Note that GenCast is
 293 trained to generate samples of the predictive distribution and hence introduces pertur-
 294 bations, which lead to larger errors, especially at early lead times. An even slightly worse
 295 degradation of the EKE can be seen from an individual member of the IFS ensemble.

296 4 Discussion

297 In summary, we demonstrated with a mathematical argument that the lead time
 298 interval in the loss function crucially determines the kinetic energy spectrum and hence
 299 the effective resolution of an AI model. If perfectly trained, a model would follow the
 300 spectrum of an ideal ensemble mean over that interval and continuously drop unpredictable
 301 modes, leading to increasingly smooth forecasts. We also confirmed that smooth fore-
 302 casts produce much better mean-square error scores by avoiding the double penalty ef-
 303 fect and we suggested a method to correct for that.

304 From our findings, we can identify two basic approaches to weather forecasting with
 305 AI: Either a model could be designed to generate samples from the predictive distribu-
 306 tion, in which case the lead time training interval should be kept as short as possible.
 307 Alternatively, a model could be designed to generate the expectation (the ensemble mean)

308 of the predictive distribution, in which case the lead time training interval should extend
309 to the entire intended forecast lead time.

310 Both approaches have their justification, however, they should not be mixed and
311 it should be made clear, which one was chosen, since this has consequences for the usage
312 of the model. Models of the first type (Aurora-S, Pangu) can be used to sample the
313 forecast distribution by means of an ensemble, stated from an initial condition sample
314 or using intrinsic stochasticity (GenCast). Each simulation resembles a possible state of
315 the atmosphere that, at least approximately, is physically consistent. Models of the second
316 type on the other hand (like Aurora-L) are not suitable to generate ensembles, do
317 not produce possible realizations of the atmospheric flow and their output is physically
318 inconsistent. However, they do resemble the remaining predictable structures in a single
319 run and predictability can be inferred from the remaining spatial scales.

320 Although the lead time training interval is crucial for the model's effective resolution,
321 it cannot explain every aspect of it. Most importantly, the presented mathematical
322 argument does not hold for predictions outside of the lead time training interval. In
323 this case, previous forecasts are being fed into the model, which resemble the mean and
324 are much smoother than the training dataset. The reaction of the model to this inconsistency
325 is largely unconstrained. In fact, some models in our study show instability after
326 the lead time training interval and most models continue to lose some kinetic energy.
327 In addition, no model was able to maintain the kinetic energy spectrum on scales smaller
328 than about 300-400 km. Potential reasons for these effects include insufficient training,
329 insufficient capacity, limited sample size of the training data or limitations in the design
330 of the network.

331 Open Research Section

332 The AI-model weights, example code and documentation can be found on github:
333 <https://github.com/google-deepmind/GraphCast>, <https://github.com/microsoft/aurora>,
334 <https://github.com/198808xc/Pangu-Weather>. The spherical harmonic coefficients
335 of the forecast data are available at <https://opendata.physik.lmu.de/H66gKyhITQ7qS51>
336 (permanent link after acceptance). The IFS operational analyses, the IFS-CTL and IFS-
337 ENS forecast were retrieved from ECMWF's operational archive (<https://apps.ecmwf.int/archive-catalogue/?class=od>). To obtain access, visit <https://www.ecmwf.int/en/forecasts/accessing-forecasts>
338 for further information.
339

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